

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Steven M. Bloom et al. Art Unit : 3624  
Serial No. : 10/077,182 Examiner : Sara M. Chandler  
Filed : February 15, 2002 Conf. No. : 9522  
Title : BALANCING ARBITRAGABLE TRACKING SECURITIES

**MAIL STOP AF**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT IN REPLY TO ACTION OF JULY 24, 2008**

Please amend the above-identified application as follows:

**CERTIFICATE OF MAILING BY EFS-WEB FILING**

I hereby certify that this paper was filed with the Patent and Trademark Office using the EFS-WEB system on this date: October 08, 2008